

For the purpose of providing good service, protection and promotion of your interests, it is important for you to provide us with the necessary information data regarding yourself. Please take all steps in order to complete this investment questionnaire fully and precisely.

**PLEASE SELECT ONLY ONE ANSWER PER ITEM AND MARK YOUR ANSWER ONLY AND INSIDE WHITE BOX**

QUESTION	ANSWER	FOR COMPANY USE ONLY
<b>1. What length of time do you wish to hold an investment</b>		
a. Very Short Term 0-1 Year		
b. Short Term 1-3 Years		
c. Medium Term 3-6 Years		
d. Long Term 6 years and longer		
All of the above		
<b>2. What is your regular annual income:</b>		
Less than EURO 25,000		
Between EURO 25,000- 50,000		
Between EURO 50,000- 100,000		
Greater than EURO 100,000		
<b>3. What is the source of your annual income</b>		
a. Salary		
b. Pension		
c. Rent		
d. Interest/Dividends		
e. Others (please specify below)		

<b>4. What is the best estimate of your Net Worth</b>		
Less than EURO 20,000		
EURO 20,000- 50,000		
EURO 50,000- 100,000		
EURO 100,000-300,000		
Greater than EURO 300,000		
<b>5. What expected return (and level of risk willing to take) do you wish to achieve per annum (this may reflect to the level of risk you may be willing to take)</b>		
5%		
8%		
11%		
15%		
20%		
Other (please specify below)		
<b>6. Which of the following risk profiles corresponds the best to your personal investment objectives</b>		
Preservation of capital: no exposure to market risk		
Secure investment: low exposure to market risk		
Medium term growth: moderate exposure to market risk		
Performance objective: substantial exposure to market risk		
Speculation: high exposure to market risk		
<b>7. In what type of instrument have you already invested</b>		
a. Cash Deposits and Government Bonds		
b. Money market funds		

c. Property		
d. Capital Guarantee Products		
e. Bond and bond funds		
f. Structured Products		
g. Equity and equity funds		
h. Foreign Currency		
i. Precious Metals		
j. Derivatives		
k. Commodities		
<b>8. In what markets have you already invested</b>		
a. Home country (Cyprus)		
b. Developed markets (e.g. USA and Europe)		
c. Emerging Markets		
<b>9. By which method have you already invested</b>		
a. Execution Only		
b. Based on investment advice received		
c. Discretionary Management		
<b>10. What is the average value of each of your financial transactions</b>		
a. Less than EURO 1,000		
b. Between EURO 1,000 and EURO 5,000		
c. Between EURO 5,000 and EURO 10,000		
d. Between EURO 10,000 and EURO 20,000		
e. Between EURO 20,000 and EURO 50,000		

f. Between EURO 50,000 and EURO 100,000		
g. Over EURO 100,000		
<b>11. What is the average total turnover of your financial transactions in each of the last 5 years</b>		
a. Less than EURO 10,000		
b. Between EURO 10,000 and EURO 50,000		
c. Between EURO 50,000 and EURO 100,000		
d. Over EURO 100,000		
<b>12. How many financial transactions have you done approximately in the past 12 months</b>		
a. Less than 10		
b. Between 10 and 50		
c. Between 50 and 100		
d. Over 100		
<b>13. Sources of investment portfolio</b>		
a. Spare income/Savings/Real Estate		
b. % of regular income		
c. Borrowed funds		
<b>14. Indicate which assets you currently hold</b>		
Cash or cash equivalent investments		
Real Estate		
Physical Commodities		
Financial Instruments (shares, bonds, funds, etc)		
Private Corporate Entity		

Other (please state below)		
<b>15. What is the desired level of liquidity of your portfolio</b>		
Fully liquid – all investments can be easily converted to cash		
Mainly liquid – a large percentage of the investments can be easily converted to cash		
Somewhat liquid – the investments are split between easily convertible to cash and not easily convertible to cash		
Somewhat liquid – A large percentage of the fund’s investments may not be easily convertible to cash		
Illiquid – Most of the fund’s investment may not be easily convertible to cash or there is a penalty for doing so		
<b>16. Primary objectives of investments</b>		
Capital Preservation		
Produce regular income		
Produce occasional income		
Produce a combination of income and capital growth		
Growth of capital with little or no income		
<b>17. Which of the following statements best describes your reaction if the value of your portfolio suddenly declined by 10%?</b>		
Very concerned – I cannot accept fluctuations in the value of my portfolio		
Be concerned even though I invest for income		
Not to concern if my income remains unaffected and the long-term prospects of the portfolio remain positive		
Not concerned because I invest for long term capital appreciation		
Accept temporary ups and downs due to market fluctuations		
<b>18. How frequently have you effected transactions in financial instruments in the past</b>		
Rarely; i.e. 1-2 per annum		

Sometimes; i.e. 1 every quarter		
Often; i.e. 1 per month		
Very frequently; i.e. 1 or more every week		
<b>19. What level of education have you acquired</b>		
Primary School		
Secondary School		
College		
University Degree		
Professional Qualification		
Is your level of education related to Finance/Banking/Accounting /Economics		
<b>20. Please state below your profession and relevant experience</b>		
<b>21. How would you evaluate your investment knowledge</b>		
Extremely limited – don't really understand much		
Limited		
Average		
Very good		
Excellent		
<b>Please indicate below your financial commitments</b>		

<b>22. FOR LEGAL ENTITIES ONLY</b>		
Please state the following information for the last fiscal year		
Nature of Activities		
Annual Turnover		
Net Profit/Loss after Tax		

<b>PLEASE STATE ANY OTHER INFORMATION YOU WOULD LIKE TO PROVIDE</b>

**CUSTOMER DECLARATION**

**REQUIRED DOCUMENTS**

Please attach to this agreement, where applicable, the following documents:  
(THE BELOW NEED TO BE “CERTIFIED TRUE COPY OF THE ORIGINAL”)

**In case the Customer is a natural person:**

- (a)  Copy of Identity Card or Passport
- (b)  Copy of Identity Card or Passport of Authorised Representative (Signatory)/Attorney (where applicable)
- (c)  Certified copy of Power of Attorney (where applicable)
- (d)  Copy of a utility bill such as Electricity Authority or Telecommunications Authority or other documents to the satisfaction of the Company evidencing the permanent residence of the Customer

**In case the Customer is a legal person:**

- (a)  Copy of the Memorandum and Articles of Association/Articles of Incorporation
- (b)  Certified copy of Certificate of Incorporation
- (c)  Certified copy of Certificate of Directors bearing a date of issue not older than 30 days

- (d)  Certified copy of a resolution of the board of directors or other appropriate board or body for the representation of the Customer, where the authorised person is a member of the board of directors. This resolution should also designate the person authorised to sign the Agreement
- (e)  Certified copy of the resolution of the board of directors or other appropriate board or body for the representation of the Customer accompanied by a certified power of attorney, or resolution of the board of directors, certified as Power of Attorney, where the authorised person is not a member of the board of Directors This resolution should also designate the person authorised to sign the Agreement and/or the Power of Attorney.
- (f)  Copy of the Identity Card or passport of the Authorised Representative (Signatory)/Attorney. In case the Customer is a legal person of a foreign country, the Customer must submit all documents requested and approved by the Company depending on its country of origin.

The above documents are not exhaustive and the Company may require additional documents where this shall be deemed necessary.

#### **IMPORTANT NOTES**

**Please note that in case you are a non-professional investor, your refusal to provide all necessary information concerning yourself may influence the ability of the Company to act in your best interests. If you have not provided all necessary information concerning yourself and due to the risks and particularities of the intended transactions, you hereby assure the Company that notwithstanding the non-provision of the aforementioned information concerning yourself and notwithstanding the risks entailed, you do wish to enter into the transactions at your own risk.**

I/we confirm that I/we have read carefully the content of the investment questionnaire and that I/we have provided all the required information which concerns me/us and I/we hereby declare and confirm that I/we have delivered all the documents required above and that these are original and authentic and their content is true and correct. I/we hereby undertake to notify the Company immediately of any changes.



- Name of Authorized Signatory.....
- Date: / /
- Signature of Authorized Signatory.....
- Date: / /

**FOR COMPANY USE ONLY**

<b>Witnessed by FIDUS Investments Cyprus Limited</b>	
<b>Name:</b>	
<b>Signature:</b>	
<b>Date:</b>	