

APPENDIX 3 – CONFIRMATION AND APPROVAL

expressly consent to the provision of Services in accordance with this policy.
☐ In cases of financial instruments admitted to trading in a regulated market or multilateral trading facility ("MTF"), I/we hereby expressly consent to the execution of my/our orders outside such regulated market or MTF.
☐ In the case of my/our limit order in respect of shares admitted to trading on a regulated market of the European Union which is not immediately executed under prevailing market conditions, I/we hereby instruct the Company not to make public immediately such client limit order unless I/we otherwise instruct the Company in writing or unless the Company or any other entity executing such orders on its behalf decides in its absolute discretion to do so.
□ I/we hereby confirm that I/we have regular access to the internet and expressly consent to being provided with information including without limitation information regarding the Company's services, costs and associated charges, information about the nature and risks of financial instruments, information concerning the safekeeping of any of my/or assets, changes in any information contained in any policy of the Company and which the Company is required to provide to the Client under the legal and regulatory framework to which the Company is subject, changes in any information contained in the Investor Information Document (including any updated versions of such Investor Information Document) and any changes in the Company's terms and conditions or any other terms of any agreement between my/our self/selves and the Company (including any updated versions of such terms and conditions) by the Company through publications on the Company's website, provided that I/we am/are notified electronically of the address of the website and the place of the website where the information may be accessed at the email address/es provided for this purpose in the Authorized Representatives Form which appears as an Appendix 1 to the Investment Services Agreement.

☐ I/we have read and understood the description of the Company's order execution policy and

I confirm that I have received, read, understood and agree to be bound by the following documentation:

- Investment Services Terms and Conditions
- Investor Information Document containing a summary of the Company's Order Execution Policy and Conflicts of Interest Policy

I confirm that I have received, read and understood the following:

- MiFID Categorization Letter
- Re-Categorization Application

"limit order" means an order to buy or sell a financial instrument at its specified order limit or better and for a specified size;

"multilateral trading facility" or "MTF" means a multilateral system operated by an investment firm or market operator, which brings together or facilitates the bringing together of multiple third-party buying and selling interests in financial instruments - in the system and in accordance with its nondiscretionary rules in a way that results in a contract in accordance with the provisions of Title 2 of Law 87(I)/2017.



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"regulated market" or "organized market" means the multilateral system managed or operated by a market operator and which brings together or facilitates the bringing together of multiple third-party buying or/and selling interests in financial instruments - in the system and in accordance with its non-discretionary rules - in a way that results in a contract, in respect of the financial instruments admitted to trading under its rules and/or systems, and which is authorised and functions regularly in accordance with the provisions of Title III of Directive 2014/65/EU (MIFID II).

•	Name of Authorized Signatory
•	Date: / /
•	Signature of Authorized Signatory
•	Date: / /
	FOR COMPANY USE ONLY
Vitnessed by FIDUS Investments Cyprus Limited	
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igna	ature:
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